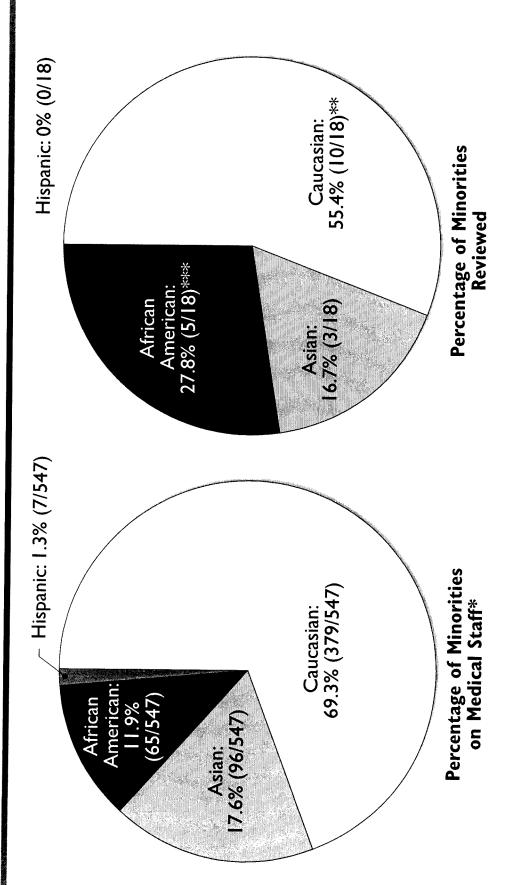
# **EXHIBIT B**

# **MEC Review**

vs. Percentage of Minorities Reviewed by MEC Percentage of Minorities on Medical Staff\*

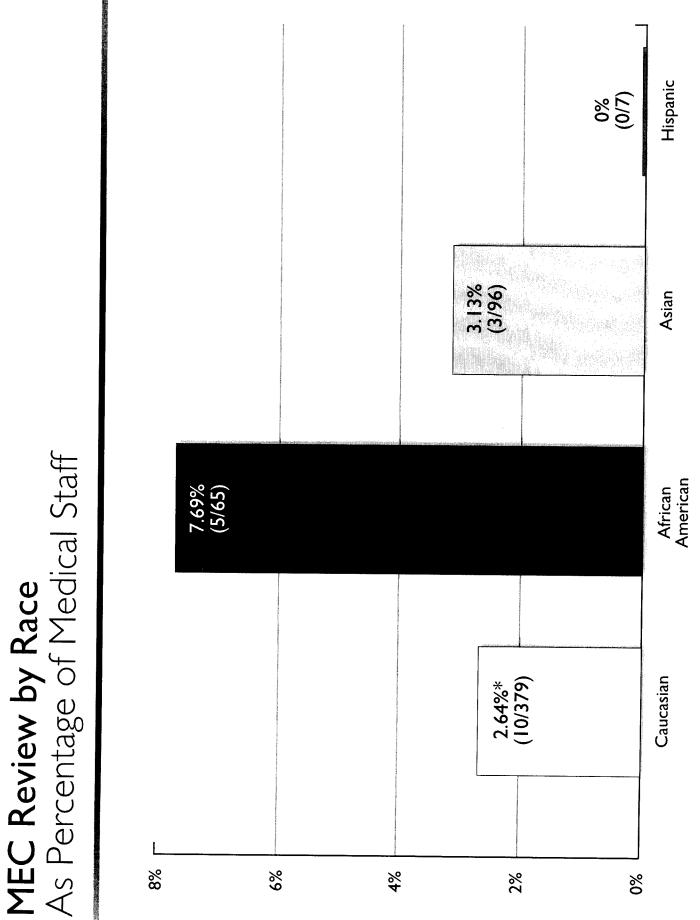


 $^st$  There were 991 physicians on medical staff, but ABSMC identified only 547 by race.

\*\* One physician, identified by ABSMC as "Physician G," was reviewed twice by the MEC. He is treated here as two separate physicians in order to avoid undercounting MEC review of Caucasians.

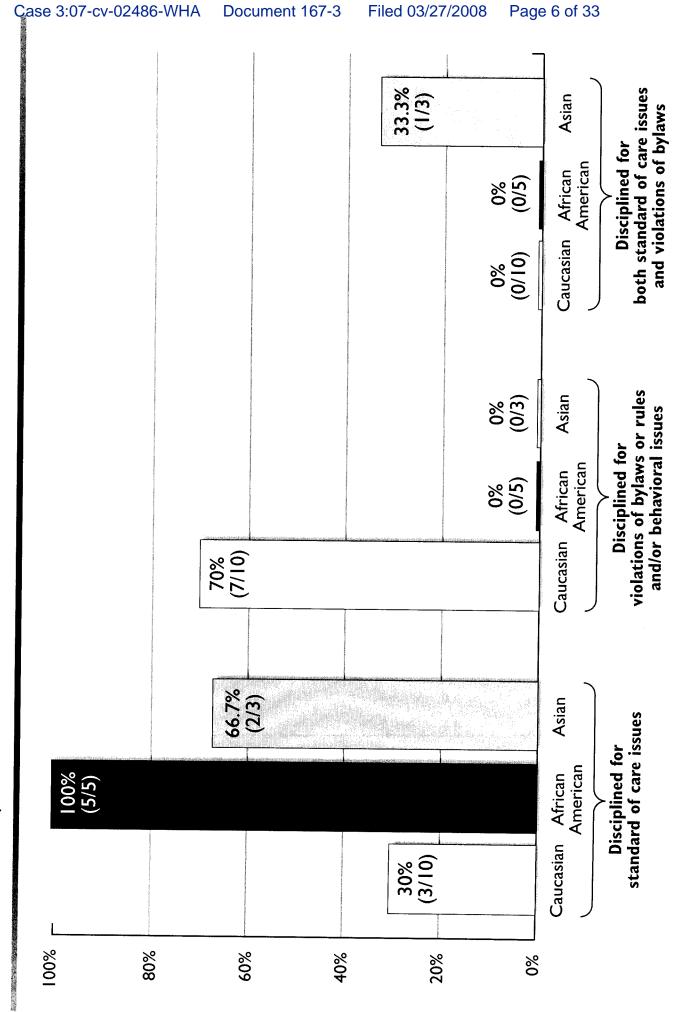
In fact, that doctor's race is a mix of predominantly Indian, African American and Native American. For that reason, he is treated here as African American. \*\*\* ABSMC stated that the doctor it identified as "Physician H" is "Non African-American."

# **EXHIBIT C**



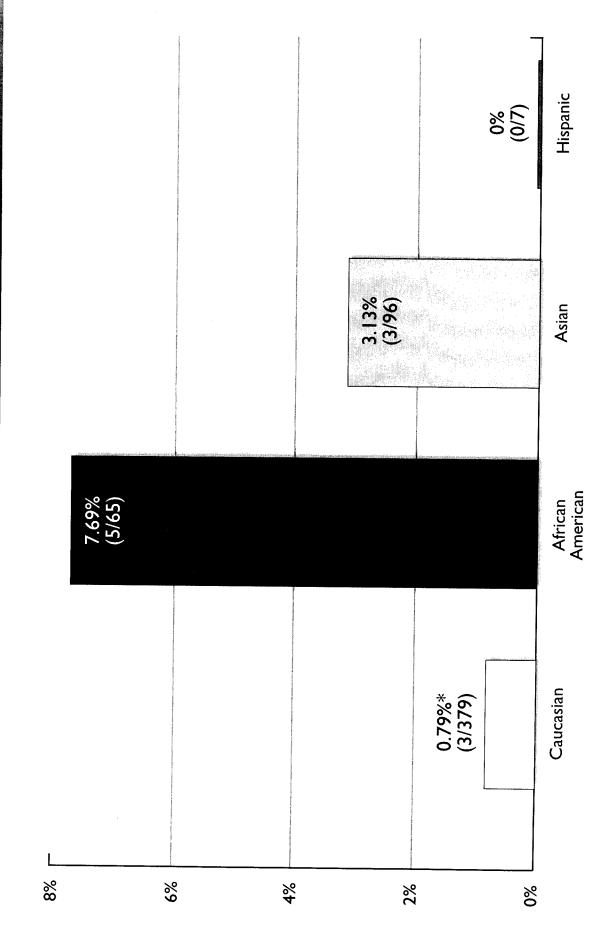
\* One physician, identified by ABSMC as "Physician G," was reviewed twice by the MEC. He is treated here as two separate physicians in order to avoid undercounting MEC review of Caucasians.

## **EXHIBIT D**



### **EXHIBIT E**

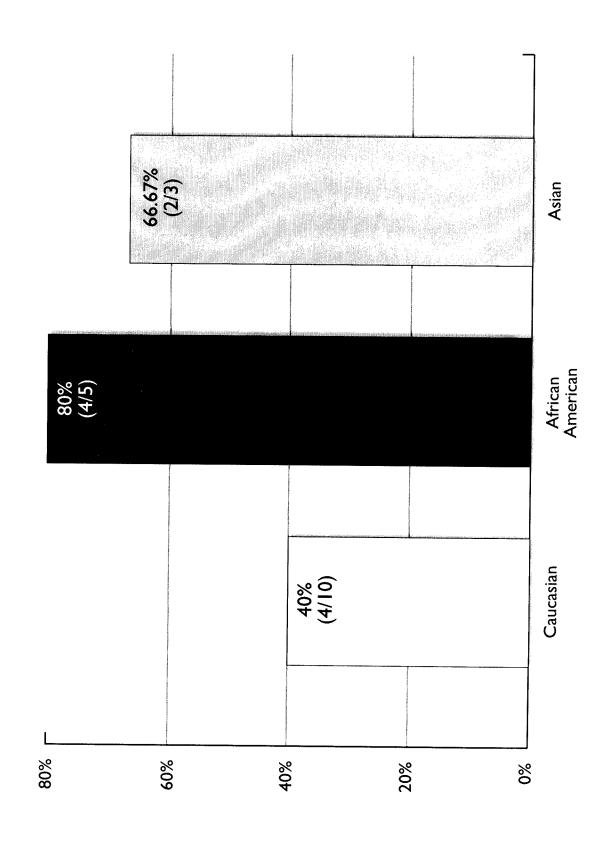
# MEC Review by Race, for Standard of Care Issues As Percentage of Medical Staff



\* One physician, identified by ABSMC as "Physician G," was reviewed twice by the MEC. He is treated here as two separate physicians in order to avoid undercounting MEC review of Caucasians.

# **EXHIBIT F**

# Summarily Suspended ABSMC Physicians, 2004-06 Race Comparison



## **EXHIBIT G**

# EAST BAY CARDIAC SURGERY CENTER

Specializing in Adult Cardiac Surgery and Thoracic Surgery

I eigh I.G. Iverson, M.D. Coyness L. Ennix, Jr., M.D. Russell D. Stanten, M.D. Juneid H. Khan, M.D.

February13, 2005

William Isenberg, M.D., Ph.D President, Medical Staff Alta Bates Summit Medical Center 350 Hawthorne Ave. Oakland, CA. 94609

CONFIDENTIAL

RE: Peer review of Dr. Coyness L. Ennix, Jr.

Dear Dr. Isenberg:

I was surprised and concerned to learn that an Ad Hoc Committee was sending 10 cases of Dr. Ennix's for an outside review.

I have been associated with Dr. Ennix in a practice of cardiac and thoracic surgery for about 5 years. We have been involved in several hundred cases together. I have known of his excellent reputation for at least 15 years. He is a nationally recognized for his leadership and innovation in cardiac surgery. He has without exception shown outstanding skill and judgment. I've have always respected his insight, technical abilities and judgment. It is my impression that he has been innovative and interested in new ideas and has added significantly to our practice.

I am not familiar with all 10 of the cases involved in this review but I am familiar with the four minimally invasive cases. I believe that after these four cases were peer reviewed by Dr. Hon lee, his review should have been accepted and these four cases closed. I'm not familiar enough with the other six cases to comment. However, in general cardiac surgery in 2005 still has significant risk and complications can occur. It is my understanding that Dr. Ennix's results over the last few years are statistically the same as for the national average when risk adjusted.

In summary, Dr. Ennix is a good surgeon with good judgment and technique. In addition, Dr. Ennix is a gentleman.

Sincerely yours,

Junaid Khan

cc: Steven Stanten, M.D. Warren Kirk, CEO

3300 Webster Street, Suite 500 Oakland, California 94609-3149 (510) 465-6600 FAX: (510) 839-0806

### **EXHIBIT H**

# SUMMIT MEDICAL CENTER CONFIDENTIAL DEPARTMENT OF SURGERY PEER REVIEW

### 100% SCREENING

Deaths- Intra-Op and Post-Op (w/in 30 Days of Surgery) and Non-Procedure
 Death w/ Surgeon as Attending
 (As of 11/06, it was decided that deaths following incidental tracheostomies would not
 be reviewed as "Post-Operative Deaths"

**QUALITY INDICATORS** 

Returns to Surgery
 Excludes dialysis access cases

### **OTHER CASES BY REFERRAL**

- Risk Referrals
- Referral from Other Sources (UOFs, Physicians, Other Committees, Critical Care Rounds, etc.)
- JCAHO Monitor Referrals (Blood Usage, Operative and Other Procedure Review, Medication Usage/ ADRs, Medical Records, Documentation, Core Measures)

### \*CARDIO-THORACIC SURGERY

Deaths, Complications, Returns to Surgery: Cases involving cardiac surgery are reviewed by a separate Cardio-Thoracic Peer Review Committee. Physician peer review issues involving questionable sub-standard care/ requiring action are referred to the Surgery Peer Review Committee for follow-up. Identified system issues are acted upon by that PI Committee (see below). CT indicators are also tracked/monitored via STS System (Society of Thoracic Surgery).

Cardiac Surgery has its own Multidisciplinary PI Meeting for identification of opportunities for Performance Improvement. This committee operates under the umbrella of, and its Performance Improvement activities are reported to, the Surgery Peer Review and/or Performance Improvement Committee.

### RN CLOSURE CRITERIA

### Deaths \*\* REFER TO MORTALITY REVIEW FLOW CHART

Returns to Surgery (Note: Dialysis Access cases are not screened)

Complication: a) recognized, and b) appropriate interventions taken, c) in a timely manner. No
indication of care outside the standard is noted. These cases are "rated" in the MIDAS system.

Revised March, 1998, Surgery Quality Reviewed/Approved June, 1999, January-02, 2004, February 2007



# **EXHIBIT I**

### UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

COYNESS L. ENNIX, JR., M.D., as an individual and in his representative capacity under Business & Professions Code Section 17200 et seq.,

**CERTIFIED COPY** CONFIDENTIAL

Plaintiffs,

٧s.

No. C 07-2486

RUSSELL D. STANTEN, M.D., LEIGH I.G. IVERSON, M.D., STEVEN A. STANTEN, M.D., WILLIAM M. ISENBERG, M.D., Ph.D., ALTA BATES SUMMIT MEDICAL CENTER and DOES 1 through 100,

Defendants.

DESIGNATED "CONFIDENTIAL" **DEPOSITION OF:** MARILYN BARKIN Thursday, January 24, 2008

Reported by:

HANNAH KAUFMAN & ASSOCIATES, INC.

Certified Shorthand Reporters

DARCY J. BROKAW

472 Pacheco Street

RPR, CRR, CLR, San Francisco, California 94116

CSR No. 12584

(415)664-4269

1		
	1	Q And how much time did you spend with
	2	Mr. Vandall in consultation?
	3	A About four hours, three or four hours.
	4	Q And how much time did you spend going over
	5	the documents you've just mentioned?
	6	A Two or three hours.
	7	Q When were you first notified that there
	8	was a potential that you would have to testify as
	9	what we call the Person Most Knowledgeable on peer
	10	review at the departmental division level at Summit?
	11	MR. VANDALL: I'll just object.
	12	He doesn't want to know the contents of
	13	any conversations that you and I had. He's just
	14	asking you about timing.
4	15	BY MR. SWEET:
1	16	Q Well, I do want to know the contents of
1	17	the conversations, but I'm not entitled to them.
1	18	But what I am entitled to is to know when
1	.9	you were first notified that you would potentially
2	20	be testifying as the Person Most Knowledgeable.
2	1	A To the best of my recollection, I think it
2.	2	was about ten days ago, a week to ten days ago.
2:	3	Q Okay. We'll flesh these concepts out a
24	4	little bit more as we go on here.
25	5	But my understanding is that at Summit,

1	there is a nurse level of review, there is a
2	physician level of review, there then is a
3	cardiothoracic division level of review, and then a
4	surgery division or department level of review; is
5	that accurate?
6	A Yes.
7	Q Will you generally tell me and again,
8	I'm going to ask you more specifics about this; so I
9	just really want general answers if you can give
10	them your role in the nurse, is it quality
11	improvement coordinator
12	A Yes.
13	Q level of review.
14	A I review documents that are provided to me
15	by the organization that help me in identifying
16	cases that would meet the criteria for peer review,
17	meaning deaths, returns to surgery, CVAs and so
18	forth.
19	Q Ms. Barkin, are you the quality
20	improvement coordinator for the cardiothoracic
21	surgery group?
22	A Yes.
.3	Q Okay. So there's not more than one?
4	A No.
5	Q Okay.

1 MR. VANDALL: I'm going to object. Ms. Barkin was not finished responding to your 2 3 question, and you should let her finish her answers 4 before you interrupt her. 5 BY MR. SWEET: 6 What about -- so you are the quality Q improvement coordinator for the CT division? 7 8 Α Yes. 9 What is your role in the -- what I look at 0 as the next level, the physician level of peer 10 review at Summit? And I'm just talking about 11 cardiothoracic cases. 12 13 Α I understand. 14 When I identify cases that meet criteria, I prepare a short abstract of the case and refer 15 it -- present that to the reviewing physician for 16 17 their determination. 18 Q Okay. 19 And I also review the chart and flag the 20 appropriate documents. 21 Meet "criteria" is a word you just used. Q 22 Is that the same thing as indicators? 23 Α Correct. 24 As the quality improvement coordinator for the CT group or division, do you have it within your 25

1	gambit or power to close the case on your own at
2	that point?
3	MR. VANDALL: Objection; vague.
4	THE WITNESS: It is in my power. I rarely
5	do that.
6	BY MR. SWEET:
7	Q Okay. Sometimes, do you?
8	A I think I've closed one case over the last
9	year that I felt was didn't warrant
10	physician-level review.
11	Q If I understand tell me if this is
12	right or wrong the rules and regulations of
13	Summit allow for the quality improvement coordinator
14	to clear the case, don't they?
15	A Yes, they do.
16	Q And then you've indicated that once you
17	review a matter as the QIC, you then submit an
18	abstract; is that what you said?
19	A Correct.
20	Q What is the abstract called? Does it have
21	a name?
22	A It's just called the case abstract.
23	Q Is it a one-page document?
24	A It can be a lot less than that.
25	Q What type of information is on the case

```
until Dr. Iverson retired, whenever that was. It's
  1
       been over a year. So that would be seven, seven.
  2
       Except that Kaiser just added an additional surgeon,
  3
       so it's back up to eight.
  4
  5
                So it sounds like as a general
       proposition, there have been eight cardiac surgeons
  6
       in the cardiothoracic division since 2004?
  7
  8
           Α
               Between seven and eight. It varies at
 9
       times.
 10
           Q
               Can you name them all?
 11
           Α
               Yes.
 12
           Q
               Please do so.
13
               Kaiser was, let's see, David Alyono,
           Α
      A-I-y-o-n-o; Brian Cain, C-a-i-n; Hon, H-o-n, Lee,
14
      L-e-e; Dennis Durzinsky, D-u-r-z-i-n-s-k-y.
15
16
              The Summit group is Russell Stanten;
      Coyness Ennix; Junaid, J-u-n-a-i-d, Kahn, K-a-h-n;
17
      Leigh Iverson; it's L-e-i-g-h, Iverson,
18
      I-v-e-r-s-o-n. And the new Kaiser physician who
19
20
      started at the very end of last year is Daniel
21
      Pellegrino.
22
              I think that's all.
23
              In that group you just listed, is
          Q
      Dr. Ennix the only African-American surgeon?
24
25
          Α
              Yes.
```

	1	prior testimony.
	2	BY MR. SWEET:
	3	Q With maybe one exception, correct?
	4	A I try to do all the abstracts on all the
	5	cases that meet criteria.
	6	Q Okay. And then it goes the matter goes
	7	to a physician-level review, correct?
	8	A Correct, yes.
	9	Q Who chooses what physician will
	10	participate in a physician-level review?
	11	A The usual well, the procedure that's
	12	followed is in the cardiothoracic division, if it's
	13	one of the Summit cardiothoracic surgeons' cases, it
	14	will go to a Kaiser physician for review and vice
	15	versa. So if it's a Kaiser physician, it's going to
	16	go to a Summit doc for review.
	17	Q Why? Why that procedure?
	18	A To avoid to try to keep the process as
:	19	objective as possible.
2	20	Q Whose idea was that, to send Summit
2	21	matters to Kaiser physicians and vice versa?
2	22	A I can't answer that. I don't know.
2	23	Q Was that process in place before you
2	24	assumed the position?
2	:5	A Yes.

- 1			
	1	Q Does it remain in place today?	
	2	A Yes.	
	3	Q Has Dr. Russell Stanten ever suggested	
	4	that that be changed in any way?	
	5	A No.	
	6	Q Has anybody	
	7	A Or not to me, he has not suggested it.	
	8	Q Has anybody ever suggested that that be	
	9	changed in any way?	
	10	MR. VANDALL: Objection; vague.	
1	1	THE WITNESS: I'm not aware.	
1	.2	BY MR. SWEET:	
1	.3	Q Who chooses the specific physician that	
1	4	will act as a reviewer?	
1	5	A For the most part, and well, I'm going	
1	6	to say it depends. For the most part, I distribute	
1	7	the cases to the Kaiser physicians based on their	
1.	8	availability and who's done the last the most the	
19	9	last time. Somebody else is going to get the next	
20	)	batch of cases.	
2:	1	Occasionally, Dr. Stanten will	
22	2	Dr. Stanten is aware of all the cases that I'm going	
23	3	to have reviewed either by him or our cases that go	
24	ļ	to Kaiser. As chief of the department, he's made	
25	i	aware of all the cases that need review, whether	

A You know, I believe Dr. Iverson was
reviewing them.
Q All of the Kaiser cases?
A I'd be speculating.
Q Okay. Are you saying that you do not make
the decision when a Kaiser case needs
physician-level review on which Summit physician
will review it?
A That would be correct.
Q Why is that, do you know?
MR. VANDALL: Calls for speculation.
THE WITNESS: I don't know. You'd have
to
BY MR. SWEET:
Q This process, the physician-level review,
the Kaiser docs reviewing Summit and vice versa, is
that consistent with other peer reviews that you've
become aware of in your career, other divisional or
departmental peer reviews?
MR. VANDALL: Objection; compound, vague,
incomplete hypothetical, lacks foundation.
THE WITNESS: In the sense that physicians
who are part of the formal group do not generally
review each other's do not review a case of
another member from their group.

1	BY MR. SWEET:
2	Q So that I don't want to put words in
3	your mouth, but it sounds standard for the
4	physician-level-review peer review to be handled in
5	the manner that the CT division handles it.
6	A That would be
7	MR. VANDALL: Objection; vague,
8	argumentative and misstates the prior testimony.
9	BY MR. SWEET:
10	Q Is that right?
11	A In order to keep the process objective,
12	you try to find well, you find reviewers who are
13	on the committee the cases are reviewed by
14	someone who's on the peer review committee. They're
15	not just parsed out to anybody on the medical staff.
16	And physicians who are in the same group do not
17	review each other's cases.
18	Q Okay. So the answer to my question on
19	whether this process at the Summit CT division,
20	where one group reviews the other group's cases, is
21	a common practice, right?
22	MR. VANDALL: Objection; vague
23	argumentative, misstates the testimony.
24	THE WITNESS: Well, I don't know if it's
25	common elsewhere, but, you know I don't know

1	whether it's common or not. That's the way what
2	I've told you is the way that it's done in the CT
3	division and by other departments at Summit.
4	BY MR. SWEET:
5	Q Like what other departments, if you know?
6	A Cardiology, for example. There are
7	several different cardiology practice groups.
8	Q And you were mentioning the reason it's
9	done this way and I don't want to put words in
10	your mouth; tell me if this is accurate is that's
1	the fair way to do it; is that right?
.2	MR. VANDALL: Objection; misstates prior
.3	testimony, argumentative, vague.
4	THE WITNESS: It would be to make sure
5	that the reviewer could be objective in their review
6	and wouldn't be biased.
7	BY MR. SWEET:
3	Q In the CT division, in your experience
)	there since 2004, has that process worked with the
)	physician-level review as you've just described it?
	MR. VANDALL: Objection; calls for
	speculation, calls for a legal conclusion, vague.
	BY MR. SWEET:
	Q Has it been fair and objective, like it's
	supposed to be?

1	A I can't I have no way of answering
2	that.
3	Q Well, do you sit in the cardiothoracic
4	peer review committee meetings?
5	A Yes, I do.
6	Q So you have an opportunity to see that
7	process play out, don't you, the peer review process
8	play out?
9	A With respect to cases that go to the
10	committee level.
11	Q Okay. Well, back to the physician level,
12	have you do you have a concern about the
13	objectivity of the physician-level review in the CT
14	division?
15	MR. VANDALL: Objection; vague, calls for
16	a personal conclusion. It's outside the scope of
7	this 30(b)(6) deposition.
.8	THE WITNESS: Are you asking me for a
9	personal opinion or or whether I think the
0	process is fair?
1	BY MR. SWEET:
2	Q As an institution, does Summit believe
3	that that physician-level review, as you've
4	described it, in the CT division is fair?
5	A I believe they do.

- 1		
	1	Q Has anybody ever voiced an opinion to you
	2	to the contrary, that it's not fair?
	3	MR. VANDALL: Objection; vague, calls for
	4	speculation, incomplete hypothetical.
	5	THE WITNESS: No one has voiced an opinion
	6	to me.
	7	MR. VANDALL: Do you want to take a
	8	two-minute break?
	9	MR. SWEET: That's fine. We've been going
	10	an hour. Let's do that. Thank you for the
	11	suggestion.
	12	(A brief recess was taken.)
	13	BY MR. SWEET:
:	14	Q Ms. Barkin, I want to change gears for
-	15	just a minute and ask you this question. Each of
1	16	the physicians at Summit has a physician number; is
1	L7	that right?
1	.8	A Yes.
1	.9	Q How are those numbers assigned to the
2	0	physician?
2	1	A I think they come directly out of the
2	2	system.
2.	3	Q Are they chronologically assigned? In
24	4	other words, the doctors who have been there longer
25	5	have lower numbers than the doctors who have been

ł		
	1	BY MR. SWEET:
	2	Q Do you remember the last question?
	3	A No.
	4	Q Okay.
	5	(The record was read back by the reporter as follows:
	6	"Q When a case proceeds from
	7	the physician-level review to
	8	the cardiothoracic peer review
	9	committee level I'm sure I'm
	10	messing these words up.
	11	"A Come again. What did you
	12	just say?
	13	"Q I'm working up the ladder
	14	here. We're going from
	15	physician level
	16	"MR. VANDALL: Objection.
	17	"Q to cardiothoracic
:	18	surgery peer review committee
] :	19	level.")
2	20	BY MR. SWEET:
2	21	Q I want to know the process, after the
2	22	physician level, of the cases that go to the CT peer
2	:3	review committee.
2	4	A So to clarify what you're asking,
2	5	physician a physician-level case gets elevated to

	1	go to the committee, and you're asking
	2	Q What happens with the case at that point?
	3	A The case comes to the committee, the
	4	cardiothoracic peer review committee, and it's
	5	discussed. And a determination as to the
	6	appropriateness of the care or any concerns is read
	7	into the minutes, and the case is either closed or
	8	it could be left open for a deferred determination,
	9	depending on additional information.
	10	Q How often does the CT surgery peer review
	11	committee meet?
	12	MR. VANDALL: Objection; vague as to time.
	13	THE WITNESS: The model is quarterly.
	14	Occasionally, those meetings get cancelled or
	15	deferred because of scheduling conflicts.
	16	BY MR. SWEET:
	17	Q And are those meetings specifically
	18	cardiothoracic surgery peer review committee
	19	meetings?
2	20	A Yes.
2	21	Q And the only thing discussed at those are
2	22	peer review matters?
2	:3	A What time frame are you asking about?
2	4	Q Well, has it changed over time?
2	5	A Yes.

	1	review matters involving CT division members that
	2	start at the CT committee level?
	3	MR. VANDALL: Objection; vague, compound.
	4	THE WITNESS: Well, to clarify, the
	5	percentage of cases that start at the committee
	6	level?
	7	BY MR. SWEET:
	8	Q Yes.
	9	A They generally don't start at the
	10	committee level. I mean well, at the
	11	department and I'm only speaking to the CT
	12	surgery department level; I'm not speaking to
	13	anything higher up. But at my level, a case would
	14	generally start at the QIC or the physician reviewer
	15	level and then rise.
:	16	Q Have you ever heard of a peer review
	17	matter starting at the CT division peer review
1	18	committee level?
1	.9	MR. VANDALL: Objection; vague.
2	0	THE WITNESS: Meaning that it would not
2	1	have been entered into the database before the
2.	2	committee meeting?
2:	3	BY MR. SWEET:
24	4	Q Because there was no QIC or
25	5	physician-level review, yes.

	İ	
	1	A I can't recall that happening. That would
	2	be outside of standard operating procedures.
	3	Q And let's talk a little bit more about the
	4	CT peer review committee meeting itself.
	5	What do the physicians have available to
	6	them to consider when discussing the peer review
	7	matters? In other words, do they have the charts
	8	there? What do they consider?
	9	A They have the charts.
	10	MR. VANDALL: Objection; vague.
	11	THE WITNESS: I'm sorry.
	12	MR. VANDALL: It's okay.
	13	BY MR. SWEET:
	14	Q They have the charts there?
	15	A They have the chart.
	16	Q Of all of the matters that come to the CT
	17	division peer review committee level, all the peer
	18	review matters that come there, what percentage of
	19	those proceed to the surgery peer review committee?
2	20	A During the time that I've been
2	21	functioning, I don't believe that any cases have
2	2	gone directly from the CT department, CT peer review
2	.3	committee to the surgery committee.
2	4	Q Is it true that I'm covering different
2	5	paths here. But is it true also that no case has

### STATE OF CALIFORNIA

I do hereby certify that the witness in the foregoing deposition was by me duly sworn to testify the truth, the whole truth, and nothing but the truth in the within-entitled cause; that said deposition was taken at the time and place therein stated; that the testimony of the said witness was reported by me, a Certified Shorthand Reporter and a disinterested person, and was under my supervision thereafter transcribed into typewriting; that thereafter, the witness was given an opportunity to read and correct the deposition transcript, and to subscribe the same; that if unsigned by the witness, the signature has been waived in accordance with stipulation between counsel for the respective parties.

And I further certify that I am not of counsel or attorney for either or any of the parties to said deposition, nor in any way interested in the outcome of the cause named in said caption.

IN WITNESS WHEREOF, I have hereunto set my hand the

CSR No. 12584